



## CORPORATE COMPLIANCE

### Status Quo

In recent years, compliance has become more important to you as a financial institute, due chiefly to the increasingly strict requirements. But good corporate governance means more than just creating transparent organisation structures, clear responsibilities, the implementation of a written framework and a suitable tone-from-the-top approach. Another vital factor is fraud prevention, however complex it may be due to the broad variety of potentially fraudulent behaviours in the financial sector and the steady introduction of new methods.

Merely the one-time implementation of routine security measures is insufficient in this regard to satisfy the statutory requirements of Section 25h German Banking Act (KWG) and to safeguard your institute from financial and reputational damage.

At Geissbühler Weber Consulting, we gladly help to strengthen your corporate compliance and to detect, assess and suitably counteract your fraud risk.

### Five scenarios and tailored solutions



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## Our services and your benefits

### Risk assessment



We support you in the preparation or updating of a risk assessment that meets the current requirements. Benefit from the industry know-how and best-practice experience of our experts to avoid overlooking risks and to suitably identify and evaluate present dangers.

▶ Best practices experience

▶ Identified and assessed risks

### Fraud prevention check and consulting



Our experts conduct a tailored analysis of your processes, instructions, controls and any cases of damage, demonstrating current or potential vulnerabilities and indicating effective measures. We provide targeted advice on how to structure these measures and to address clear problems and issues.

▶ Evaluated status quo

▶ Roadmap to compliance

### Investigating suspected cases



As independent third parties, we support you in the investigation and clarification of suspected cases. Moreover, we show you where further action is needed and how it can be put into practice.

▶ Independent assessment by experts

▶ Flexible deployment of external experts

### Vendor assessment



We analyse the (potential) risks associated with business partners, for instance in regard to orderly fulfilment of outsourcing agreements.

▶ Industry expertise

▶ Independent expert opinion

### Compliance and fraud training



We freshen up your know-how on fraud prevention and current fraudulent methods to prevent you, your staff and colleagues from falling prey to criminal machinations. We also provide training on specific topics as required.

▶ Practical structure

▶ Information on new fraud methods

## Thank you for contacting us



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